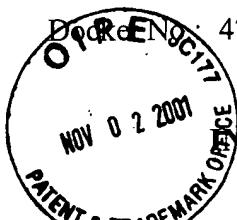


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47271-072

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Syed K. QURAISHI, et al.

Serial No.: 09/827,333

: Group Art Unit: 2163

Filed: April 06, 2001

: Examiner: not assigned

For: A RULES ENGINE HAVING USER ACTIVATED RULES OF SELECTABLE SCOPE
AND SELECTABLE OUTCOMES

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

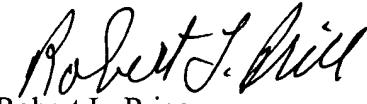
In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

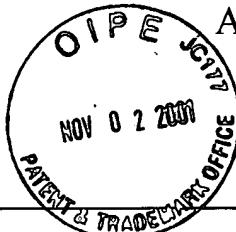
Each English language reference was cited in a corresponding foreign application search report or office action and its relevance discussed therein. A copy of the foreign search report or office action is attached for the Examiner's information.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


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Washington, DC 20005-3096
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Date: November 2, 2001
Facsimile: (202) 756-8087

INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (PTO-1449)			ATTY. DOCKET NO. 47271-072	SERIAL NO. 09/827,333		
			APPLICANT Syed K. QURAISHI, et al.			
			FILING DATE April 06, 2001	GROUP 2163		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,101,353	3/31/92	Lupien et al.			
	5,819,238	10/6/98	Fernholz			
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						Yes
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
	V. Stamas, "Brokers may face mandatory job skills training", Public Finance Watch ,20 September 1993, Vol. 7, No. 35, page 9					
	J. Michaels, "Buy side champions alternative trading systems", Wall Street & Technology, June 1993, Vol. 10, No. 11, pages 38-48					
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.